

Uncertainty Quantification for the Fundamental Mode Spectrum of the European XFEL Cavities

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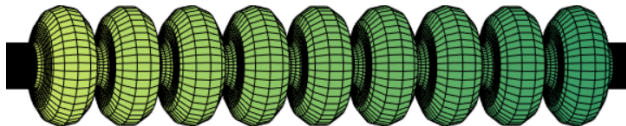
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Research Group at GSCE

Introduction

9-cell TESLA cavities @ DESY



inputs

geometry
(parameters)

simulations

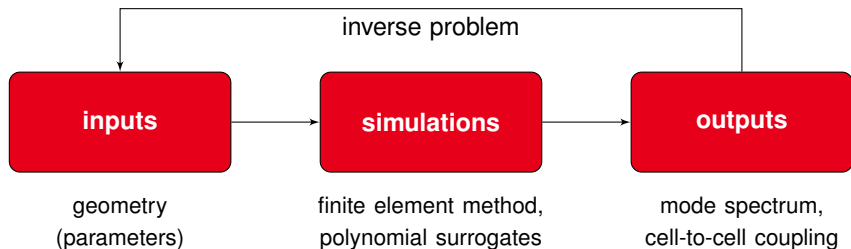
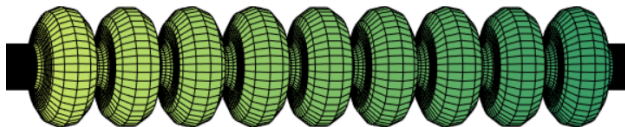
finite element method,
polynomial surrogates

outputs

mode spectrum,
cell-to-cell coupling

Introduction

9-cell TESLA cavities @ DESY

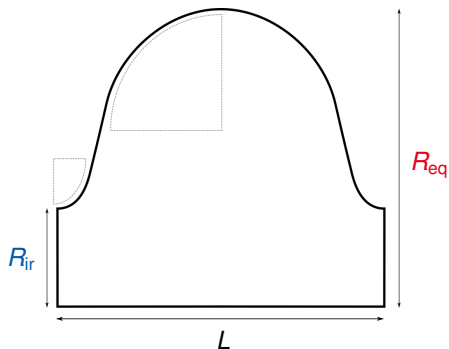


Outline of the Talk

- 1 Introduction
- 2 Inputs
- 3 Simulations
- 4 Outputs
- 5 Inverse problem
- 6 Conclusion

INPUTS

Uncertain geometry



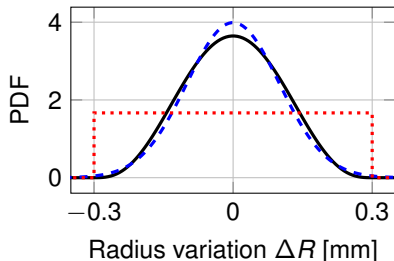
Geometrical shape of an elliptical cell.

- Random event θ
- 10 uncertain parameters: equatorial radii $R_{\text{eq}}^{(i)}(\theta)$, $i = 1, \dots, 9$ of each cell and iris radius $R_{\text{ir}}(\theta)$

INPUTS

Uncertainty modelling

- Changes in the radii are modelled as beta distributed random variables
 - Shape parameters are chosen such that normal distribution is approximated
 - Probability density function (PDF) has bounded support
- Constraints due to manufacturing (e.g. sorting) lead to correlation

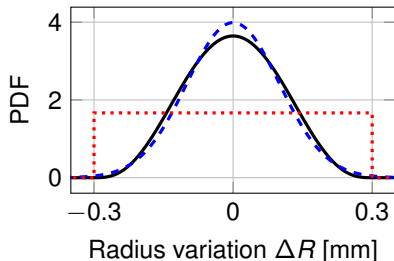


- Black: PDF of beta distribution with support in $[-0.3 \text{ mm}, 0.3 \text{ mm}]$.
- Blue, dashed: PDF of normal distribution with $\mu = 0 \text{ mm}$ and $\sigma = \frac{0.2}{2} \text{ mm}$.
- Red, dotted: PDF of uniform distribution with support in $[-0.3 \text{ mm}, 0.3 \text{ mm}]$.

INPUTS

Uncertainty modelling

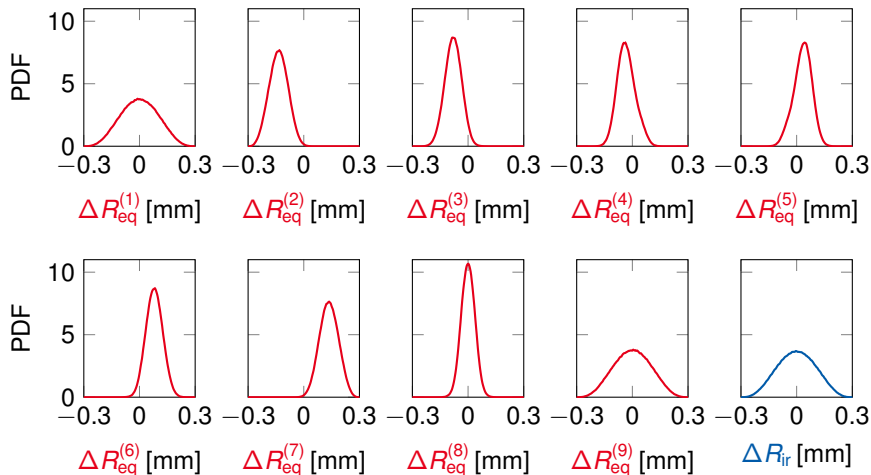
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INPUTS

Kernel density estimates of correlated random variables



SIMULATIONS

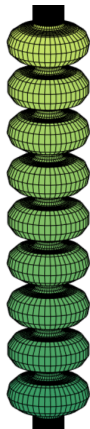
Maxwell's eigenproblem

- We solve Maxwell's eigenproblem

$$\nabla \times \left(\frac{1}{\mu_0} \nabla \times \mathbf{E}_i \right) = (2\pi f_i)^2 \epsilon_0 \mathbf{E}_i$$

with PEC boundary conditions.

- Using the finite element method in 2D, a high accuracy can easily be achieved (error $\approx \pm 0.001$ MHz)



SIMULATIONS

Tuning

- Each cell whose $R_{\text{eq}}^{(i)}$ or $R_{\text{ir}}^{(i)}$ is changed, is tuned independently by solving for the unknown length $L^{(i)}$

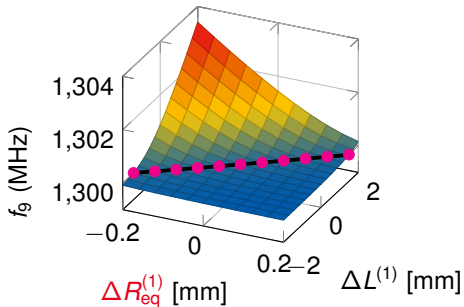
$$f_9(L^{(i)}) - 1.3 \text{ GHz} = 0.$$

- E.g. the effect of a change in

$$R_{\text{eq}}^{(1)} = R_{\text{design}}^{(1)} + \Delta R_{\text{eq}}^{(1)}$$

on the accelerating frequency f_9 is compensated by changes in

$$L^{(1)} = L_{\text{design}}^{(1)} + \Delta L^{(1)}.$$



The black line is the 1.3 GHz contour line. The magenta points are the tuning values for $\Delta L^{(1)}$ obtained for a given value of $\Delta R_{\text{eq}}^{(1)}$ (unique solution).

SIMULATIONS

Polynomial Surrogate Model

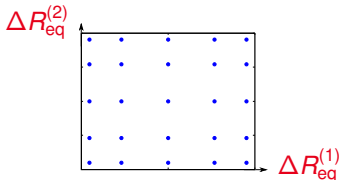
- Quantities of interest
 - Fundamental eigenfrequencies f_i , $i = 1, \dots, 9$
 - Cell-to-cell coupling

$$k_{cc} = 2 \frac{f_9 - f_1}{f_9 + f_1} \cdot 100\%.$$

Key Idea

- Compute polynomial surrogate (meta) model of mapping from inputs ΔR_{ir} , $\Delta R_{eq}^{(i)}$, $i = 1, \dots, 9$ to outputs k_{cc} , f_i , $i = 1, \dots, 9$.

- Global polynomial basis functions (Lagrange polynomials)
- Interpolation on collocation points (Leja nodes)



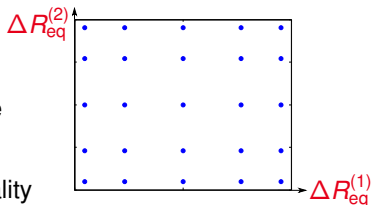
SIMULATIONS

Collocation Points

- Tensor grid: Number of points

$$N = N_{\Delta R_{\text{eq}}^{(1)}} \cdots N_{\Delta R_{\text{eq}}^{(9)}} N_{\Delta R_{\text{ir}}}$$

- Complexity increases exponentially with the dimension: curse-of-dimensionality
- Sparse grids delay the curse-of-dimensionality
 - A priori construction of sparse grids, cf. Smolyak
 - **Adaptive** generation of grid is even more efficient¹
- 500 (non-intrusive) model evaluations \rightarrow suitable accuracy
- Evaluation of polynomials is almost for free



¹Narayan, Akil, and John D. Jakeman. *Adaptive Leja sparse grid constructions for stochastic collocation and high-dimensional approximation*. SIAM Journal on Scientific Computing 36.6 (2014): A2952-A2983.

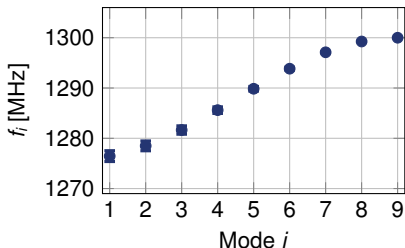
OUTPUTS

Fundamental mode spectrum

Using $N^{\text{MC}} = 815983$ random samples $\{f_i^{(m)}\}_{m=1}^{N^{\text{MC}}}$, we compute

$$\mathbb{E}_{\text{MC}}[f_i] = \frac{1}{N^{\text{MC}}} \sum_m^{N^{\text{MC}}} f_i^{(m)}$$

$$\text{var}_{\text{MC}}[f_i] = \frac{1}{N^{\text{MC}} - 1} \sum_m^{N^{\text{MC}}} \left(f_i^{(m)} - \mathbb{E}_{\text{MC}} \right)^2$$



| Mode i | Mean [MHz] | Std. dev. [MHz] |
|----------|------------|-----------------|
| 1 | 1,276.46 | 0.36 |
| 2 | 1,278.49 | 0.32 |
| 3 | 1,281.64 | 0.28 |
| 4 | 1,285.60 | 0.22 |
| 5 | 1,289.85 | 0.15 |
| 6 | 1,293.84 | 0.09 |
| 7 | 1,297.11 | 0.04 |
| 8 | 1,299.25 | 0.01 |
| 9 | 1,300.00 | 0.00 |

OUTPUTS

Cell-to-cell coupling coefficient

- Statistical moments of cell-to-cell coupling coefficient k_{CC}

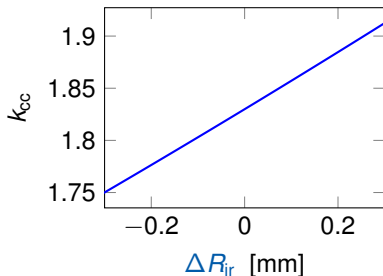
- Mean $\mathbb{E}[k_{CC}] \approx 1.828$

- Standard deviation $\sqrt{\text{var}[k_{CC}]} \approx 0.019$

- Analysis of variance-based sensitivity indices (Sobol) yields

$$\frac{\text{var}_{R_{ir}}[k_{CC}]}{\text{var}[k_{CC}]} > 96\%.$$

- k_{CC} is heavily influenced by iris radius R_{ir} while equatorial radii $R_{eq}^{(i)}$ have significantly less impact \rightarrow we neglect those parameters (use nominal values)



INVERSE PROBLEM

- Collect measurements of the fundamental mode spectra for $M \approx 400$ cavities (manufactured by the same vendor) from the XFEL cavity database
- From measurements, calculate for each cavity j the cell-to-cell coupling coefficient $k_{cc,j}$
- For each $k_{cc,j}$, we then calculate the deformation in the iris radius by solving

$$\Delta R_{ir,j} = f^{-1}(k_{cc,j})$$

- For $j \in [1, \dots, M]$

| $\mathbb{E} [k_{cc,j}]$ | Std $[k_{cc,j}]$ | $\mathbb{E} [\Delta R_{ir,j}]$ | Std $[\Delta R_{ir,j}]$ |
|-------------------------|------------------|--------------------------------|-------------------------|
| 1.854 | 0.016 | 0.087 mm | 0.057 mm |

- Majority of considered cavities is within specification $|\Delta R_{ir,j}| \leq 0.2$ mm

Conclusion

- Incorporation of manufacturing imperfections into numerical simulations
- Modelling of manufacturing process (constraints and tuning)
- Dimension-adaptive sparse grid approximation to significantly reduce computational cost of repeated model evaluations
- Variance-based sensitivity analysis → first steps towards inverse problems

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